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State Securities Board

KENNETH W. ANDERSON, JR.

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JACK D. LADD

SSB Docket No. 02-11

IN THE MATTER OF THE AGENT REGISTRATION OF	99	Order No.	CAF/SUS-1456
JOE EDWARD POE, JR.	§		

TO: Mr. Joe Edward Poe, Jr. (CRD # 1650897)
J. P. Turner & Company, L.L.C.
3340 Peachtree Road, Suite 2300
Atlanta. GA 30326-1076

DISCIPLINARY ORDER REPRIMANDING, SUSPENDING AND FINING AN AGENT

Be it remembered that Joe Edward Poe, Jr. ("Respondent") appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order and, without admitting or denying them, the Findings of Fact and Conclusions of Law contained herein.

FINDINGS OF FACT

- 1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2002) ("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon Supp. 2002) ("Administrative Procedure Act").
- 2. Respondent has filed an application with the Securities Commissioner as an agent of J. P. Turner & Company, L.L.C., which is currently pending.
- 3. Respondent was previously registered with the Securities Commissioner as an agent of M. G. Securities Group, Inc. from August 8, 1998, to February 19, 1999.
- 4. Respondent was employed by M. G. Securities Group, Inc. from July, 1998, until January, 1999.

- 5. Respondent offered for sale and sold securities on behalf of M. G. Securities Group, Inc. from July, 1998, until August 8, 1998, without being properly registered with the Securities Commissioner as required by Section 12 of the Texas Securities Act.
- 6. Respondent filed an application for registration with the Securities Commissioner as an agent of Premier Capital Management, Inc. on October 14, 1999, which was terminated without registration on October 20, 1999.
- 7. Respondent was employed at Premier Capital Management, Inc. from January, 1999, until October, 1999.
- 8. Respondent offered for sale and sold securities on behalf of Premier Capital Management, Inc. without being properly registered with the Securities Commissioner as required by Section 12 of the Texas Securities Act.

<u>UNDERTAKING</u>

- 1. Respondent represents that he did not offer for sale or sell securities on behalf of Richmark Capital Corporation.
- 2. Respondent represents that he has not offered for sale or sold securities on behalf of J. P. Turner & Company, L.L.C. in Texas.
- 3. Respondent hereby undertakes and agrees that he will not offer for sale or sell securities in the State of Texas until his registration is approved by the Securities Commissioner and Respondent receives written notification from J. P. Turner & Company, L.L.C. that he is properly registered.

CONCLUSIONS OF LAW

- 1. Pursuant to Section 14.A(6) of the Texas Securities Act, the foregoing violations constitute bases for the issuance of an order reprimanding and suspending an agent.
- 2. Pursuant to Section 23-1 of the Texas Securities Act, the foregoing violations constitute bases for the issuance of an order assessing an administrative fine against an agent.

ORDER

- 1. It is therefore ORDERED that the registration of Joe Edward Poe, Jr. as an agent of J. P. Turner & Company, L.L.C. is hereby GRANTED.
- 2. It is further ORDERED that Joe Edward Poe, Jr. is hereby REPRIMANDED.

- 3. It is further ORDERED that the registration of Joe Edward Poe, Jr. is SUSPENDED for thirty (30) calendar days from the date this Order is signed by the Securities Commissioner.
- 4. It is further ORDERED that Joe Edward Poe, Jr. is hereby ASSESSED AN ADMINISTRATIVE FINE in the amount of Five Thousand Dollars (\$5,000.00). Payment shall be made by delivery of a cashier's check to the Securities Commissioner in the amount of Five Thousand Dollars (\$5,000.00), payable to the State of Texas, contemporaneously with the delivery of this Order.
- 5. It is further ORDERED that Joe Edward Poe, Jr. SHALL COMPLY with the terms of the Undertaking as set forth herein.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this 6th day of 2001. 2002 are

DENISE VOIGT COAWFORD Securities Commissioner

Respondent:

Joe Edward Poe. Jr.

Approved as to Form:

Michael S. Gunst

Director

Inspection & Compliance Division

Wayne Secore

Secore & Waller, P.C. Attorney for Respondent

David Grauer Director

Enforcement Division

ACKNOWLEDGMENT

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On the	day of	2004 log	Edward Poe, Jr. ("Respondent")
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nerconally anneared	hefore me	executed the foregoing	order, and acknowledged that:

- 1. Respondent has read the foregoing Order;
- 2. Respondent has been fully advised of his rights under the Texas Securities Act and the Administrative Procedure Act;
- 3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained herein; and,
- 4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived his rights as set forth herein.



[affix notary seal here]

Carolin /h	new
Notary Public in and for the State of	0

My commission expires on: